## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents

P.O. Box 1450 Alexandria, Virginia 22313-1450 or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate All further correspondence including the Patent, advance orders and outliteation of maintenance fees will be mailed to the current correspondence address as inclinated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicates unless a separate FEE ADDRESS\* (or DESS).

CURRENT CORRESPONDENCE ADDRESS	(Note: Use Block 1 for any change of address)

FILING DATE

06/23/2000

32172 7590 06/25/2010

DICKSTEIN SHAPIRO LLP 1633 Broadway NEW YORK, NY 10019

APPLICATION NO

09/603 390

Note: A certificate of mailing can only be used for domestic mailings of the For(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmitta is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Denositor's rome (Signature (Date FIRST NAMED INVENTOR ATTORNEY DOCKET NO CONTRIBMATION NO

1103

☐ Government

E3331.0432

TITLE OF INVENTION: CONVERSATIONAL DEALING IN AN ANONYMOUS TRADING SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$0	\$1510	09/27/2010
EXAM	MINER	ART UNIT	CLASS-SUBCLASS			
HAVAN,	THU THAO	3695	705-037000			
<ol> <li>Change of correspondence address or indication of "Fee Address" (37 CFR. 1563).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>Tee Address' indication (or 'Pee Address' Indication form PTO/SB/47: Rev 03-02 or more recent) attached. Use of a Customer Youther is required.</li> </ol>		2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively. (2) the name of a single firm (flaving as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agent. If no name is listed, no name will be printed.		era 2	DICKSTEIN SHAPIRO LLP	

August Hochenberger

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

ondon, U

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity

4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) Issue Fee
Publication Fee (No small entity A check is enclosed. Payment by credit card. F Advance Order - # of Copies

The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any composite to Denosit Account Number (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27 □ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Typed or printed name Registration No.

This collection of information refrequency by 37 CER 1311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confedentiality is governed by 31 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and continuing the completed application from the USPTO. This will now depend group of the USPTO. The will now depend group of the USPTO. The USPT

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.